Interpolation and Robinson's lemma for Łukasiewicz predicate logic Xavier Caicedo Universidad de los Andes, Bogotá

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# **PROPOSITIONAL** Ł

 $\pounds$  stands for [0,1]-valued  $\pounds$ ukasiewicz propositional logic over  $\neg, \rightarrow$ . X, Y, Z, ... (finite) sets of propositional variables (*languages*)  $\pounds_X$  set of formulas built from the variables in X  $[0, 1]^X$  set of valuations on X (identifiable with  $[0, 1]^n$ , n = |X|) For  $\varphi \in \pounds_X$ ,  $v \in [0, 1]^X$ :  $v(\varphi) :=$  value of  $\varphi$  according to  $\pounds$ ukasiewicz interpretation of  $\neg \rightarrow$ 

 $\mathsf{v}(\varphi):=\mathsf{value}$  of  $\varphi$  according to Łukasiewicz interpretation of  $\neg,$   $\rightarrow$  .

$$\mathit{Mod}(\varphi):=\{\mathit{v}\in[\mathsf{0},\mathsf{1}]^X:\mathit{v}(\varphi)=\mathsf{1}\}$$

Define similarly Mod(T) for a *theory*  $T \subseteq L_X$  with possibly infinite X.

#### Fact

 $f_{\varphi}: [0,1]^X \to [0,1], f_{\varphi}(v) = v(\varphi)$ , is continuous for any  $\varphi$ ; hence,  $Mod(T) = \bigcap_{\varphi \in T} f_{\varphi}^{-1}(1)$  is closed in  $[0,1]^X$ .

# Corollary

(Compactness) if each finite part of a theory T is satisfiable, T is satisfiable.

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**Proof**.  $Mod(T) = \bigcap_{F \subseteq_{fin} T} Mod(F)$ .  $\Box$ 

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If  $X \subseteq Y$  the projection  $\pi : [0, 1]^Y \to [0, 1]^X$ ,  $\pi(v) = v \upharpoonright X$  is continuous.

If  $\varphi \in \ell_X \subseteq \ell_Y$ ,  $\ell_Z$  we may speak about  $Mod_Y(\varphi)$ ,  $Mod_Z(\varphi)$ . The following notions are independent of Y:

$$\begin{array}{ll} \models \varphi & \text{iff} & \textit{Mod}_Y(\varphi) = [0, 1]^Y \\ \varphi \models \psi & \text{iff} & \textit{Mod}_Y(\varphi) \subseteq \textit{Mod}_Y(\psi) \end{array}$$

For a theory  $T \subseteq \ell_X \subseteq \ell_Y$ :

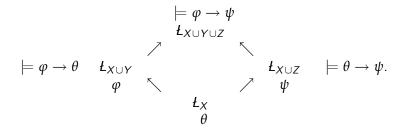
$$T \models \psi$$
 iff  $Mod_Y(T) \subseteq Mod_Y(\psi)$ 

The completeness theorem says that we may replace above  $\models$  with  $\vdash$  for a suitable deductive system  $\vdash .(T \text{ finite in the last case})$ 

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### **Craig interpolation**

X, Y, Z mutually disjoint languages,  $\varphi \in \pounds_{X \cup Y}$ ,  $\psi \in \pounds_{X \cup Z}$ . If  $\models \varphi \rightarrow \psi$  then there is  $\theta \in \pounds_X$  such that  $\models \varphi \rightarrow \theta$  and  $\models \theta \rightarrow \psi$ .



It fails in *L*:

$$\models (p \land \neg p) \to (q \lor \neg q),$$

the only interpolant is the constant  $\frac{1}{2}$ , not expressible by a formula of *L*.

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## **Deductive interpolation**

 $\begin{array}{c} \varphi \models \psi \text{ instead of } \models \varphi \rightarrow \psi : \\ & \varphi \models \psi \\ & \mathcal{L}_{X \cup Y \cup Z} \\ \varphi \models \theta \quad \mathcal{L}_{X \cup Y} \\ & \varphi \\ & \varphi \\ & \varphi \\ & \mathcal{L}_{X} \\ & \theta \end{array} \qquad \begin{array}{c} \varphi \models \psi \\ & \mathcal{L}_{X \cup Z} \\ & \mathcal{L}_{X \cup Z} \\ & \psi \end{array} \qquad \theta \models \psi \\ & \mathcal{L}_{X} \\ & \theta \end{array}$ 

holds in *Ł*.

The lack of a classical deduction theorem prevents recovering Craig.

Shown by algebraic means by several people, geometrically by D. Mundici.

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# The geometric proof

# Lemma

The sets  $Mod(\varphi)$ ,  $\varphi \in \ell_X$ , are exactly the rational polyhedra in  $[0, 1]^X$ .

#### Lemma

Projections of rational polyhedra are rational polyhedra.

$$\begin{aligned} \mathsf{Mod}_{X\cup Y\cup Z}(\varphi) \subseteq \mathsf{Mod}_{X\cup Y\cup Z}(\psi) \\ & [0,1]^{X\cup Y\cup Z} \\ & \swarrow & [0,1]^{X\cup Y} \\ \mathsf{Mod}_{X\cup Y}(\varphi) & \swarrow & [0,1]^{X\cup Z} \\ \mathsf{Mod}_{X\cup Y}(\varphi) & \swarrow & \mathsf{Mod}_{X\cup Z}(\psi) \\ & [0,1]^{X} \\ & \pi \mathsf{Mod}_{X\cup Y}(\varphi) = \mathsf{Mod}_{X}(\theta) \end{aligned}$$

$$\begin{aligned} \mathbf{Proof.} \ \mathsf{Mod}_{X\cup Y}(\varphi) \subseteq \pi^{-1}\pi \mathsf{Mod}_{X\cup Y}(\varphi) = \pi^{-1}\mathsf{Mod}(\theta) = \mathsf{Mod}_{X\cup Y}(\theta). \\ \mathsf{Mod}_{X\cup Z}(\theta) \subseteq \mathsf{Mod}_{X\cup Z}(\psi), \text{ using the disjointedness of } X, Y, Z_{\mathbb{R}}, \mathbb{R} = 0 \end{aligned}$$

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## Uniform interpolation

This proof yields left *uniform* (deductive) interpolation:  $\theta$  does not depend on  $\psi$ , it is a *left uniform interpolant*. Similarly there is a *right* uniform interpolation not depending on  $\varphi$  because:

## Fact

Cylindrifications of rational polyhedra are rational polyhedra.

The cylindrification of  $R \subseteq [0, 1]^{X \cup Z}$  in X is the largest subset C of  $[0, 1]^X$  such that  $C \times [0, 1]^Z \subseteq R$ .

Thus the cylindrification of  $Mod_{X\cup Z}(\psi)$  is the model class of a sentence  $\theta \in \mathcal{L}_X$ , which interpolates.

#### Theorem

For each  $\varphi \in t_{X \cup Y}$  there is  $\varphi_* \in t_X$  such that  $\varphi \models \varphi_*$  and  $\varphi_* \models \psi$  for any  $\psi \in t_{X \cup Z}$  such that  $\varphi \models \psi$ . Dually, for each  $\psi \in t_{X \cup Z}$  there is  $\psi^* \in t_X$  such that  $\psi^* \models \psi$  and  $\varphi \models \psi^*$  whenever  $\varphi \models \psi$ .

## Some propositional extensions

Rational Pavelka  $\pounds$ : add a constant connective  $\frac{1}{n}$  for each  $n \in \omega$ . Divisible  $\pounds$ : add a unary connective  $\frac{1}{n}x$  for each  $n \in \omega$  (Gerla). Riesz  $\pounds$ : add a unary connective  $\alpha x$  for each  $\alpha \in [0, 1]$  (Di Nola, Leustean).

Continuous L: add all continuous connectives.

The model classes of these logics:

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Rational Pavelka Ł Divisible Ł Riesz Ł

. . . .

 $Mod(\varphi)$ rational polyhedra rational polyhedra rational polyhedra polyhedra

Continuous Ł closed sets Closed under projections and cylindrification.All satisfy uniform deductive interpolation.

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# Craig again

Divisible  $\underline{k}$  satisfies Craig Interpolation and it is the smallest extension doing so (Baaz and Veith 1999). This hinges on:

#### Lemma

The family  $\{f_{\varphi} : \varphi \in Divisible \ L\}$  is closed under propositional quantification (sup and inf with respect to a variable).

	$Mod(\varphi)$	$\{f_{\varphi}\}_{\varphi}$	Craig
Ł	rational polyhedra	$McN_{\mathbb{Z}}$	_
Rational Pavelka Ł	rational polyhedra		—
Divisible Ł	rational polyhedra	$McN_{\mathbb{Q}}$	+
Riesz Ł	polyhedra	$McN_{\mathbb{R}}$	+
			÷
Continuous Ł	closed sets	$\mathcal{C}([\prime,\infty]^X)$	+
A similar fact holds for F	Riesz Ł and Continuous Ł		
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## Robinson's joint consistency

 $T \subseteq T'$  theories in  $\pounds_X$  and  $\pounds_Y$ , respectively, with  $X \subseteq Y$ .

# Definition

*T'* is *conservative* over *T* if for any  $\varphi \in \ell_X : T' \models \varphi$  implies  $T \models \varphi$ .

#### Theorem

If  $T_i \subseteq L_{X_i}$ , i = 1, 2, are satisfiable extensions of  $T \subseteq L_{X_1 \cap X_2}$  with  $T_1$  (or  $T_2$ ) conservative over T then  $T_1 \cup T_2$  is satisfiable.

If  $T \subseteq L_X$  is X-complete (maximally satisfiable among theories in  $L_X$ ) then any satisfiable extension of T is conservative. Hence, the usual statement of the Robinson's property.

#### Lemma

Deductive interpolation implies Robinson's property.

Both properties are equivalent in any extension of *t* satifying compactness and closed under tukasiewicz connectives. Classical proofs depend heavily / 25

#### Expressing rational approximations in Ł

For each rational  $r \in (0, 1)$  there are unary connectives  $\Box_r^+$  and  $\Box_r^-$  definable in  $\pounds$  such that

$$\Box_r^+(x) = 1 \text{ iff } x \ge r \qquad \qquad \Box_r^-(x) = 1 \text{ iff } x \le r$$

This follows from McNaughton's theorem.

More elegantly, [r, 1] and [0, r] are rational polyhedra and thus model sets. We will write, suggestively,

$$\varphi_{\geq \frac{n}{m}} \qquad \varphi_{\leq \frac{n}{m}}$$

for  $\Box_r^+(\varphi)$  and  $\Box_r^-(\varphi)$ .

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# FIRST ORDER ŁUKASIEWICZ $t \forall$

The failure of Craig's property lifts to  $\mathcal{L}\forall$  with essentially the same counterexample:

$$\models \exists x (Px \land \neg Px) \to \forall x (Qx \lor \neg Qx).$$

Warning: the interpolant does not need to be a constant sentence, it may contain the identity symbol.

Situation for deductive interpolation and Robinson's property?

- We prove an approximate form of deductive interpolation
- Full Robinson's property.

This extends to any logic between  $L\forall$  and continuous logic  $CL\forall$  (the approximate interpolant may be chosen in  $L\forall$ )

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#### First order Łukasiewicz logic

- First order languages:  $au = \{R, ...; f, ..., c, ...\},$
- [0, 1]-valued structures:  $\mathfrak{A} = (A, R^{\mathfrak{A}}, ...; f^{\mathfrak{A}}...; c^{\mathfrak{A}}, ...)$

$$R^{\mathfrak{A}}: A^n \to [0,1], \quad f^{\mathfrak{A}}: A^n \to A, \quad c^{\mathfrak{A}} \in A.$$

*Łukasiewicz connectives*  $\rightarrow$ ,  $\neg$ ; *quantifiers*  $\exists$ ,  $\forall$  interpreted as suprema and infima:

$$\begin{split} & [\exists x \varphi(x)]^{\mathfrak{A}}(\mathbf{b}) & := \quad \sup_{\mathbf{a} \in \mathcal{A}} \varphi^{\mathfrak{A}}(\mathbf{a}, \mathbf{b}) \\ & [\forall x \varphi(x)]^{\mathfrak{A}}(\mathbf{b}) & := \quad \inf_{\mathbf{a} \in \mathcal{A}} \varphi^{\mathfrak{A}}(\mathbf{a}, \mathbf{b}). \end{split}$$

Terms, evaluated as in classical logic, give rise to functions  $t^A : A^n \to A$ . Formulas  $\varphi(x_1, ..., x_n)$  give rise to maps  $\varphi^{\mathfrak{A}} : A^n \to [0, 1]$ . Sentences give rise to values  $\varphi^{\mathfrak{A}} \in [0, 1]$ .

#### Identity

#### A distinguished binary predicate $\approx^{\mathfrak{A}} : A^2 \to [0, 1]$ satisfying: $x \approx x$ $x \approx x$ $x \approx y \to y \approx x$ d(x, x) = 0d(x, y) = d(y, x)

- $\begin{array}{ll} x \approx y \rightarrow y \approx x & d(x,y) = d(y,x) \\ (x \approx y \rightarrow (y \approx z \rightarrow x \approx z) & d(x,z) \leq d(x,y) \oplus d(y,z) \\ x \approx y \rightarrow (R(x,..) \leftrightarrow R(y,..)) & |R(x,..) R(y,..)| \leq d(x,y) \\ x \approx y \rightarrow f(x,..) \approx f(y,..) & d(f(x,..), f(y,..)) \leq d(x,y) \end{array}$ 
  - d(x, y) := ¬x ≈ y defines a *pseudo-metric* for which R and f are 1-Lipschitz continuous.
  - If we assume that the maximum degree of identity of two elements imply their true identity, the pseudometric *d* becomes a metric. We will assume this is always the case.

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• The schema  $x\approx y\to (\varphi(x,..)\leftrightarrow \varphi(y,..))$  is not inherited by all formulas

 $x \approx y \rightarrow (R(x) \leftrightarrow R(y))$  does not imply

$$x \approx y \rightarrow (R(x) \oplus R(x) \leftrightarrow R(y) \oplus R(y))$$

but

$$(x \approx y)^2 \rightarrow (R(x) \oplus R(x) \leftrightarrow R(y) \oplus R(y)).$$

the congruence axioms for basic predicates and operations imply that for any formula  $\varphi$  or term t of  $L\forall$  there is a constant k such that

$$\begin{array}{ll} (x \approx y)^k \to (\varphi(x, ..) \leftrightarrow \varphi(y, ..)) & |R(x, ..) - R(y, ..)| \leq kd(x, y) \\ (x \approx y)^k \to (t(x, ..) \leftrightarrow t(y, ..)) & d(f(x, ..), f(y, ..)) \leq kd(x, y). \end{array}$$

 Their interpretations become uniformly continuous with a Lipschitz constant depending on the formula only.

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### Ultraproducts

•  $\{A_i\}_{i \in I}$  a family of [0, 1]-valued structures of type  $\tau$ , U and ultrafilter over I.

- $\prod_i A_i / U$  ordinary ultraproduct for the algebraic part of the  $A_i$ .
- For each predicate symbol  $R \in \tau$ , including d, the ultraproduct of  $\{A_i^n \xrightarrow{R^{A_i}} [0, 1]\}_i$

$$(\Pi_i A_i / U)^n \xrightarrow{R^*} [0, 1]^I / U$$

Compose with  $st : [0, 1]^{I} / U \rightarrow [0, 1] :$ 

$$(\Pi_i A_i / U)^n \stackrel{R^{**}}{\to} [0, 1]$$

 $(\Pi_i A_i / _U)^2 \xrightarrow{d^{**}} [0,1]$  becomes a pseudometric. Divide out by infinitesimals:

**Definition**.  $\prod_{i=1}^{*} A_i / U := (\prod_i A_i / U) / \sim$ , where  $f_{/U} \sim g_{/U}$  if and only if  $d^{**}(f_{/U}, g_{/U}) = 0$  iff  $\{i \in I : d_i(f(i), g(i)) < \varepsilon\} \in U$  for all positive  $\varepsilon_{i_i \cup i_i \in \mathbb{Z}} \in \mathbb{Z}$  and  $\varepsilon_{i_i \in \mathbb{Z}} \in \mathbb{Z}$ .

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## **Ultraproduct theorem**

#### Theorem

For 
$$\varphi \in \mathcal{L}$$
 and  $f_{,..} \in \Pi_i A_i$   
$$\overset{*}{\Pi_i} A_i / U \models \varphi[f_{/U},...] \quad iff \quad \{i \in I : A_i \models \varphi_{\geq r}[f(i),...]\} \in U \text{ for any rational } i$$

Hence,

$$\{i \in I : A_i \models \varphi[f(i), ...]\} \in U \quad \text{implies} \stackrel{*}{\Pi_i} A_i / U \models \varphi[f_{/U}, ...]$$

- Model classes are closed under ultraproducts
- Projections of model classes are closed under ultraproducts:

 $A_i \in K$  implies  $(A_i, R_i) \in Mod(T)$  then  $\stackrel{*}{\Pi}_i(A_i, R_i)/U = (\stackrel{*}{\Pi}_iA_i/U, R^*) \in Mod(T)$ , thus  $\stackrel{*}{\Pi}_iA_i/U$ 

## Keisler-Shelah

# Definition

$$A \equiv_{L^{orall}} B$$
 if and only if  $arphi^A = arphi^B$  for any sentence  $arphi$ 

If 
$$A_i = A$$
 for all  $i \in I$ , then  $A^{*I}/_U := \prod_{i=1}^{*} A_i/_U$  is called a (metric) ultrapower of  $A$ .

# Theorem

 $k \forall$ -equivalent models have isomorphic ultrapowers

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## The *Ł*∀-topology

Let  $\Gamma_{\tau}$  the topology on  $St_{\tau}(\mathcal{L}\forall)$  obtained by taking the classes  $Mod(\theta)$ ,  $\theta \in L_{\tau}$ , as a sub-basis of closed classes. This topology

- Is invariant under isomorphism
- If  $\tau \subseteq \mu$ , the reduct map  $St_{\mu}(\mathcal{L}\forall) \rightarrow St_{\tau}(\mathcal{L}\forall)$  is continuous.
- It is a *regular* topology (separation of closed clases and points)
- $(St_{\tau}(L\forall), \Gamma_{\tau})$  is compact.

Regularity follows from the fact that rational approximations are expressible. Assume  $A \notin Mod(T)$  then  $A \not\models \varphi$  for some  $\varphi \in T$ , hence  $A \models \varphi_{\leq r}$  for some r < 1. If r < s < 1 then  $Mod(\varphi_{\leq s})^c$  and  $Mod(\varphi_{\geq s})^c$  are disjoint open classes containing, respectively, Mod(T) and  $\{A\}$ .

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## Compactness

Given a topological space, X,  $\{x_i\}_{i \in I} \subseteq X$ ,  $x \in X$ , and an ultrafilter U over I

# Definition

$${x_i}_{i \in I} \rightarrow_F x$$
 iff  ${i \in I : x_i \in V} \in U$ , for any open neinghborhood V of x.

#### Theorem

X is compact if and only if all ultrafilter limits exist for all families in X.

#### Fact

In  $X_{\tau} = (St_{\tau}(\mathbf{k} \forall), \Gamma_{\tau})$ 

$$\{A_i\}_{i\in I} \to_F \prod_i A_i / U$$

**Proof.**  $\Pi_i A_i / F \in Mod(\varphi)^c = V$  implies  $\{i : A_i \models \varphi_{\geq r}\} \notin U$  for r < 1 then  $\{i : A_i \models \varphi_{\leq r}\} \in U$  then  $\{i : A_i \not\models \varphi\} \in U$ , that is  $\{i : A_i \in V\} \in U$ .

### A topological disgression

Define in any space X,

$$x \equiv y \Leftrightarrow \overline{\{x\}} = \overline{\{y\}}.$$

x and y belong to the same closed (open) subsets of X. We may form the quotient space  $X / \equiv$ 

#### Lemma

If X is regular, the quotient space  $X_{/\equiv}$  is Hausdorff (excercise).

#### Lemma

If  $K_1$  and  $K_2$  are disjoint compact subsets of a regular topological space X which can not be separated by a finite intersection of basic closed sets, then there exist  $x_i \in K_i$ , i = 1, 2, such that  $x_1 \equiv x_2$ .

Clearly,  $\equiv$  is  $\mathcal{L} \forall_{\tau}$ -equivalence in the space  $(St_{\tau}(\mathcal{L} \forall), \Gamma_{\tau})$ ,

## A separation lemma

#### Lemma

Any pair of disjoint  $PC_{\Delta}$ -classes  $K_1$ ,  $K_2$  of the same signature  $\tau$  are separable by a sentence  $\theta \in L \forall_{\tau}$ , that is,

 $K_1 \subseteq Mod(\theta), K_2 \cap Mod(\theta) = \emptyset.$ 

**Proof**. The  $K_i$  are compact (being continuous images of compact classes). If separation is not possible, we obtain by the topological lemma above

$$A \equiv_{t \forall} B$$
 with  $A \in K_1$ ,  $B \in K_2$ .

Utilizing the Keisler-Shelah theorem for  $L \forall$  we obtain:

$$A \approx B$$
 with  $A \in K_1$ ,  $B \in K_2$ .

yielding a contradiction.

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## Approximate deductive interpolation

### Theorem

If 
$$\varphi \models \psi$$
 then for any  $r < 1$  there is  $heta_r$  such that  $\varphi \models heta_r \models \psi_{< r}$ 

**Proof.** If  $\varphi \models \psi$  then  $\varphi$  and  $\psi_{\leq r}$  are jointly unsatisfiable. Therefore  $K_1 = Mod(\varphi) \upharpoonright X$  and  $K_2 = Mod(\psi_{\leq r}) \upharpoonright X$  are disjoint and thus there is  $\theta_r \in \mathcal{L} \forall (\tau \cap \mu)$  such that  $\varphi \models \theta_r$  and  $Mod(\theta_r) \cap K_2 = \emptyset$ , thus  $\theta_r \models \psi_{\geq r}$   $\Box$ 

Taking 
$$\Theta = \{ heta_r\}_r$$

## Corollary

If  $\varphi \models \psi$  there is a countable theory  $\Theta \subseteq \mathcal{L} \forall (\tau(\varphi) \cap \tau(\psi))$  such that  $\varphi \models \Theta \models \psi$ .

Both versions are equivalent.

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# Robinson

Approximate interpolation implies Robinson:

### Theorem

If  $T_i \subseteq k \forall_{\tau_i}$ , i = 1, 2, are conservative extensions of a theory  $T \subseteq k \forall_{\tau_1 \cap \tau_2}$ then  $T_1 \cup T_2$  is satisfiable.

**Proof.**  $T_1 \cup T_2$  is unsatisfiable so is  $\{\delta_1, \delta_2\}$  where  $\delta_i$  is the conjunction of a finite subset of  $T_i$ . Then  $\{\delta_1, \delta_{2 \ge r}\}_{r < 1}$  is unsatisfiable and by compactness again  $\delta_1 \models (\delta_2)_{\le r}$  for some r < 1. By approximate interpolation there is  $\Theta \subseteq \mathcal{L} \forall_{\tau_1 \cap \tau_2}$  such that  $\delta_1 \models \Theta \models_S \delta_{2 \le r}$ . Then,  $T_1 \models_S \Theta$  and  $T \models \Theta$  by conservativity; hence,  $T_2 \models \Theta \models \delta_{2 \le r}$  which yields unsatisfiability of  $T_2$ .  $\Box$ 

In fact, both propeties are equivalent in any compact extension of  ${\it k}\forall$  closed under Lukasiewicz connectives.

For the other direction, notice that  $\varphi \models \psi$  implies  $K_1 = Mod(\varphi) \upharpoonright X$  and  $K_2 = Mod(\psi_{\leq r}) \upharpoonright X$  are disjoin for any r < 1. If these classe where not separable, then we would have  $A \equiv_{L^{\forall}} B$  with  $A \in K_1, B \in K_2$ . Then,

# Questions

- Does sharp deductive interpolation holds in  $t \forall$ ? or in continuous logic? Do proof-theoretical methods could shed any light?
- Does  $Div \mathcal{E} \forall$  enjoys Craig interpolation
- Does  $CL \forall$  (continuous logic) enjoys Craig interpolation

(Ben Yaacov has shown that it holds in  $CL_{\omega_1}$ , and infinitary version of CL)

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# THANKS!

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